

THURCROFT PARISH COUNCIL MAJOR RISK REGISTER (Reviewed 1 March 2022)

| RISK | CONTROLS | RISK EXPOSURE AFTER APPLICATION OF CONTROLS (High, Medium or Low) |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------|
| Injuries to third parties | Existence of equipped play areas conforming to accepted safety standards. Adequate safety signage. Regular inspection of play areas and skateboard park. Adequate maintenance of Council assets and adequate insurance cover | Medium |
| Injuries to employees | Adequate insurance cover, access to relevant training | Low |
| Misappropriation of funds/fraud | Appointment of CCAB qualified Clerk bound by professional standards. Sound internal control environment. Internal and external audit scrutiny. Adequate fidelity guarantee insurance cover. | Low |
| Security of property | Asset register, purchase of CCTV system at Gordon Bennett Memorial Hall (GBMH), palisade fencing installed surrounding GBMH within 2017/18, regular maintenance of property, regular fire equipment maintenance, presence of caretaker, existence of security lighting, adequate insurance cover. Creation of best practice Fire Management Plan. Annual Fire Inspection. | Medium |
| Business Continuity <ul style="list-style-type: none"> • Clerks incapability to work e.g. long term sickness • Systems failure • Pandemic impact | Access to professional expertise Spouse (Retired Deputy Headteacher) and CIPFA qualified Internal Auditor available for emergency cover. Access to YLCA and Rotherham MBC for further emergency cover. Periodic refresh of IT equipment. IT records backed up to portable memory devices. Clerk obtained decision making delegated powers July 2020. | Low Low Medium |
| Exposure to investment uncertainty in current economic climate | Balances of Council invested with recognised UK banker. No exposure to stock market investment. Balances in low risk (low interest) accounts. Stability of current bankers monitored on ongoing basis. | Medium |
| General Data Protection Regulation (GDPR) Compliance | Creation within 2018/19 of required documentation along with systematic secure destruction of time limited records | Low |
| Impact of pandemic on health and safety and service provision. | Adherence to health and safety best practice, government guidelines and legislation. Ongoing close liaison with Rotherham MBC. Clerk obtained decision making delegated powers July 2020. | Medium |